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| APPLICATION NO.   | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |  |
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| 10/608,783  | 06/27/2003      | Sanjay Kumar Nigam   | 15670-053001 /<br>SD2001-205 | 8109             |  |
| 41790   | 7590 03/02/2006 |                      | EXAM                         | EXAMINER         |  |
| BUCHANAN INGERSOLL LLP (INCLUDING BURNS, DOANE, SWECKER & MATHIS) |                 |                      | FORD, ALLISON M              |                  |  |
| 12230 EL CA   |                 | CORER & MATTHOY      | ART UNIT                     | PAPER NUMBER     |  |
| SUITE 300   |                 |                      | 1651                         |                  |  |
| SAN DIEGO, CA 92130   |                 | •                    | DATE MAILED: 03/02/200       | 6                |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   | Applicant(s)  |          |  |  |  |  |
|--|---|---|----------|--|--|--|--|
|  | 10/608,783  | NIGAM ET AL.  |          |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit  |          |  |  |  |  |
|  | Allison M. Ford   | 1651  |          |  |  |  |  |
| The MAILING DATE of this communication Period for Reply  | on appears on the cover shee  | t with the correspondence a   | ddress   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR A WHICHEVER IS LONGER, FROM THE MAIL!  - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communica!  If NO period for reply is specified above, the maximum statutory.  Failure to reply within the set or extended period for reply will, b Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b). | NG DATE OF THIS COMMUCFR 1.136(a). In no event, however, maion.  period will apply and will expire SIX (6) y statute, cause the application to become                             | JNICATION.  By a reply be timely filed  MONTHS from the mailing date of this one ABANDONED (35 U.S.C. § 133). |          |  |  |  |  |
| Status   |   |   |          |  |  |  |  |
| 1) Responsive to communication(s) filed or   | 19 December 2005.   |   |          |  |  |  |  |
| ·— ·   | ·   |   |          |  |  |  |  |
| • ——   |   |   |          |  |  |  |  |
| •  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |          |  |  |  |  |
| Disposition of Claims  |   |   |          |  |  |  |  |
| 4) Claim(s) 1-67 is/are pending in the appli   | cation.   |   |          |  |  |  |  |
| 4a) Of the above claim(s) <u>8-67</u> is/are withdrawn from consideration.   |   |   |          |  |  |  |  |
| 5) Claim(s) is/are allowed.  |   |   |          |  |  |  |  |
| 6)⊠ Claim(s) <u>1-7</u> is/are rejected.   |   |   |          |  |  |  |  |
| 7) Claim(s) is/are objected to.  |   |   |          |  |  |  |  |
| 8) Claim(s) are subject to restriction   | and/or election requirement   |   |          |  |  |  |  |
| Application Papers   |   |   |          |  |  |  |  |
| 9) The specification is objected to by the Ex  | aminer.   |   |          |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>27 June 2003</u> is/are: a) accepted or b)⊠ objected to by the Examiner.   |   |   |          |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |   |          |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |   |          |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |   |          |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |   |          |  |  |  |  |
| 12) Acknowledgment is made of a claim for to a) All b) Some * c) None of:  |   |   |          |  |  |  |  |
|  | <ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul> |   |          |  |  |  |  |
| 3. Copies of the certified copies of the application from the International  | ne priority documents have b<br>Bureau (PCT Rule 17.2(a)).  | een received in this Nationa  | ıl Stage |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |   |          |  |  |  |  |
|  |   |   |          |  |  |  |  |
| Attachment(s)  | _   |   |          |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date   |   |   |          |  |  |  |  |
| <ol> <li>Notice of Draftsperson's Patent Drawing Review (PTO-53)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO Paper No(s)/Mail Date</li> </ol>  | /SB/08) 5) Notice   | Pro(s)/Mail Date<br>e of Informal Patent Application (PT<br>:   | ГО-152)  |  |  |  |  |
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## **DETAILED ACTION**

#### Election/Restrictions

Applicant's election of Group I, claims 1-7, in the reply filed on 19 December 2005 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)). The restriction requirement is hereby made FINAL.

With regards to the election of species requirement, applicants elected "gelatin" from claim 6 as the species of biocompatible matrix for initial prosecution of the claims; however, as a courtesy the examiner has lifted the requirement for election of a single species of biocompatible matrix, all species from claim 6 will be examined.

#### Priority

Applicant's claim for the benefit under 35 U.S.C. 119(e) to provisional application 60/426,152 (filed 14 November 2002) is acknowledged. Applicant's claim for benefit under 35 U.S.C. 119 to international application PCT/US02/20673 (filed 28 June 2002), which further claims priority to US provisional application 60/301,684 (filed 28 June 2001), is acknowledged. All claims are appropriately noted in the first paragraph of the specification.

However, the declaration submitted by applicant further notes claims for priority under 35 U.S.C. 120 to applications 09/595,195 and 09/965,651; such claims for priority are not noted in the first paragraph of the specification, as is required by 37 CFR1.78. Furthermore, applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 120 to 09-595,195 and/or 09/965,651 as follows:

The later-filed application must be an application for a patent for an invention which is also disclosed in the prior applications. The disclosure of the invention in the parent applications and in the

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later-filed application must be sufficient to comply with the requirements of the first paragraph of 35 U.S.C. 112. See *Transco Products, Inc. v. Performance Contracting, Inc.*, 38 F.3d 551, 32 USPQ2d 1077 (Fed. Cir. 1994).

The disclosure of the prior-filed application, Application No. 09/956,651 & 09/595,195, fail to provide adequate support or enablement in the manner provided by the first paragraph of 35 U.S.C. 112 for claims 3-7 of this application. While applicant has incorporated the teachings of 09/956,651 and 09/595,195 into the current application, as well as submitting the entire specifications of each of the parent applications as annexes to the present specification, it is required that the parent applications contain the subject matter claimed in the current application, not vice versa. Neither of the prior-filed applications disclose the propagation of uteric bud tissue in culture in the presence of pleiotrophin and/or heregulin or an active fragment thereof. Therefore, the subject matter of claims 3-7 is not fully supported by the prior filed applications.

#### Specification

The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed. The following is suggested: "INDUCTION OF TUBULAR MORPHOGENESIS USING PLEIOTROPHIN."

#### Drawings

There are two sets of drawings present in the application; while neither set is identified by an application serial number, it appears the set with 17 figures is intended for the current application, and the set with 11 figures was submitted as part of 09/595,195. Applicant is required to remove the drawings from 09/595,195 from the application, or in some way make it clear which set is to be part of the current application.

## Claim Objections

Claim 1 is objected to because of minor informalities: First, "ureteric" is incorrectly spelled "uteric." Second, claim 1 uses the abbreviation UB in the 2<sup>nd</sup>-4<sup>th</sup> lines of the claim; though one would recognize "UB" to stand for ureteric bud, it is desirable to state the definition of the abbreviation the first time it appears. Amending the second line of the claim to read, "(a) culturing a <u>ureteric bud (UB)</u> in vitro under conditions that induce the UB to undergo..." would be remedial.

Similarly, in claim 2, though FGF1 and GDNF are art accepted terms, it would be desirable to include the complete name of the growth factors with the abbreviations the first time they appear in the claims. Amending claim 2 to read, "The method of claim 1, wherein the conditions comprise culturing the UB in the presence of BSN-conditioned medium (BSN-CM), fibroblast growth factor-1 (FGF1), and glial derived neutrophic factor (GDNF)" would be remedial. Furthermore, by defining GDNF in claim 2, the abbreviation could be used by itself in claim 4.

Claim 3 is objected to because of a spelling error: in line 7 "produce" is misspelled "product."

Claim 7 is objected to because it lists "proteoglycans" twice; it would be remedial to delete one of the listings. Appropriate correction is required.

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 3-7 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s),

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at the time the application was filed, had possession of the claimed invention. Specifically, applicants' claim 3 recites pleiotrophin and/or heregulin or an active fragment thereof, claim 4 recites GDNF or a functional equivalent thereof, and claim 5 recites FGF1 or a functional equivalent thereof.

"An applicant shows possession of the claimed invention by describing the claimed invention with all of its limitations using such descriptive means as words, structures, figures, diagrams, and formulas that fully set forth the claimed invention... one must define a compound by 'whatever characteristics sufficiently distinguish it'. A lack of adequate written description issue also arises if the knowledge and level of skill in the art would not permit one skilled in the art to immediately envisage the product claimed from the disclosed process." MPEP § 2163

Regarding the active fragments of pleiotrophin and/or heregulin, there is no evidence that the inventors were in possession of isolated active fragments of pleiotrophin and/or heregulin at the time of filing. To satisfy the written description aspect of 35 U.S.C. 112, first paragraph, for a claimed genus of molecules, it must be clear that: (1) the identifying characteristics of the claimed molecules have been disclosed, e.g., structure, physical and/or chemical characteristics, functional characteristics when coupled with a known or disclosed correlation between function and structure, or a combination of these; and (2) a representative number of species within the genus must be disclosed. The specification does not disclose any representative species of active fragments of heregulin or pleiotrophin that have the ability to induce branching morphogenesis of ureteric bud tissue, with or without identifying characteristics, such as chemical structure, nucleic acid or protein sequence information. Therefore, claims 3-7 fail to satisfy the written description requirement.

Regarding the functional equivalents of GDNF and FGF1, there is insufficient written description provided in the disclosure to adequately describe the precise action GDNF and/or FGF1 have on the branching morphogenesis of ureteric bud cells; therefore, without description of the function of GDNF and/or FGF1 in this particular method, one of ordinary skill in the art would not be able to immediately

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envisage all the functional equivalents thereof. Furthermore, there is no evidence that the inventors were in possession of functional equivalents of homologues of GDNF and/or FGF1 at the time of filing, particularly in light of the fact applicant has failed to disclose the relevant, identifying characteristics, such as structure, physical and/or chemical characteristics, and structure and that they have failed to present a representative number of species which could be used as 'functional equivalents' of GDNF and/or FGF1 in the present method. See Eli Lilly, 119F. 3d. at 1568, 43 USPQ2d at 1406.

Claims 1-7 are- rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a method of inducing ureteric bud cells to undergo branching morphogenesis in culture comprising culturing ureteric bud cells in either BSN-CM or pleiotrophin, does not reasonably provide enablement for inducing UB cells to undergo branching morphogenesis in culture comprising only heregulin. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make or use the invention commensurate in scope with these claims.

Applicant's claims are directed to methods of culturing UB cells so as to induce branching morphogenesis, claims 3-7 particularly require culture in culture medium comprising pleiotrophin and/or heregulin. The alternative language used in claim 3 allows for the use of culture medium comprising only one of pleiotrophin and heregulin. At the time of the invention, it was known that UB cells cultured in the presence of BSN conditioned media (derived from metanephric mesenchymal cells) would surprisingly undergo branching morphogenesis; addition of glial derived neurotrophic factor (GDNF) would increase the rate and degree of branching morphogenesis (See, e.g. Qiao et al, PNAS 1999). However, it was not clear what specific morphogenic factors, present in the BSN-CM, were responsible for the branching morphogenesis. In the present application applicants have set forth evidence that they have discovered the 18 kDa protein pleiotrophin is at least one of the main morphogenic factors responsible for the branching morphogenesis of the UB cells in 3-D culture (See Spec, particularly Pg.

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37). Applicants provide surprising evidence that pleiotrophin, a heparin-binding factor, is capable of inducing impressive branching morphogenesis of isolated UB cells; however, while applicants hypothesize that additional morphogenic growth-promoting factors are present in the BSN-CM, they fail to provide similarly compelling evidence that any other factors, namely heregulin, have similar morphogenic growth-promoting ability on the UB cells.

In the present specification, filed 27 June 2003, applicants state they have identified heregulin in BSN-CM (See Spec. Pg. 42, paragraph 00140); they further state "it is very likely that heregulin is one of the factors that induce UB growth." However, such a statement is not supported by evidence, as applicants have only shown that UBs grown in the presence of isolated heregulin plus GDNF and FGF1 grew to a "similar" morphology as UBs grown in the presence of non-pleiotrophin-containing fractions of BSN-CM (See Spec, pg 42, paragraph 00140). No description of the morphology is provided; therefore there is no evidence that either of the UB populations described above underwent any degree of branching morphogenesis; in fact, because pleiotrophin was shown to be a critical morphogenic growth-promoting factor, and pleiotrophin was not present in either of the culture mediums, one would expect that neither of the populations of UBs would have undergone branching morphogenesis. Doubt is further cast on the ability of heregulin to, by itself, induce branching morphogenesis based on the complete lack of mention in any of the post-published non-patent literature, including applicants' own paper ("Identification of pleiotrophin as a mesenchymal factor involved in ureteric bud branching morphogenesis" Development, 2001) or reviews of molecular controls in branching morphogenesis (Piscione et al, Differentiation, 2002).

While lack of any working examples cannot be the sole factor in determining enablement, the lack of evidence of the ability of heregulin to induce branching morphogenesis, the lack of teachings or guidance provided in the specification regarding the ability of heregulin to induce branching morphogenesis, the unpredictability of the art- as evidenced by the lack of identification of any

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morphogenic growth-promoting factors in BSN-CM prior to applicants' identification of pleiotrophin, and the lack of recognition in the art, even post-filing, of heregulin or other factors present in BSN-CM capable of inducing branching morphogenesis, as a whole support that applicants were not enabled for the claimed invention as a whole, but were rather limited to use of pleiotrophin.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Applicants' claim 1 is directed to a method of propagating ureteric bud cells in culture, comprising (a) culturing a UB in vitro under conditions that induce the UB to undergo branching morphogenesis to generate a population of UBs comprising tubular branches; (b) subdividing the UB population; and (c) resuspending each subpopulation in culture media and repeating (a) and (b). Claim 2 requires the conditions to comprise culturing the UB in the presence of BSN-CM, FGF1, and GDNF.

Regarding claim 1, it is unclear what 'conditions' induce the UB to under go branching morphogenesis. Though claim 2 defines the conditions as culturing in the presence of BSN-CM, FGF1, and GDNF, each claim must stand on its own; therefore, claim 1 fails to particularly point out and distinctly claim the subject matter which applicant regards as their invention. The use of BSN-CM appears to be critical to the invention, as the specification submits "Conditioned medium secreted by metanephric mesenchyme-derived cells [BSN-CM] is required for isolated UB branching morphogenesis.... Thus, BSN-CM contains and additional soluble factor(s) necessary for epithelial cell branching morphogenesis." (Spec, Pg. 33, paragraphs 00115-00116).

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Applicants' claim 3 is directed to a method for the in vitro culture and propagation of ureteric bud tissue, comprising isolating ureteric bud tissue from mesenchymal tissue obtained from embryonic kidney rudiments; culturing the isolated ureteric bud tissue in a biocompatible matrix in the presence of a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof for a sufficient time and under sufficient conditions to produce tubular branches within the biocompatible matrix; separating the plurality of branched tips to generate bud fragments; and culturing each bud fragment in a biocompatible matrix with a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof.

Claim 4 requires the culture medium to further comprise a GDNF or a functional equivalent thereof.

Claim 5 requires the culture medium to further comprise FGF1 or a functional equivalent thereof. Claim 6 requires the biocompatible matrix to comprise cotton, collagen, polyglycolic acid, cat gut suture, cellulose, gelatin, dextran, polyamide, polyester, polystyrene, polypropylene, polyacrylate, polyvinyl, polycarbonate, polytetrafluorethylene, nitrocellulose compound, or Matrigel. Claim 7 requires the gelatin to be treated to contain proteoglycans, Type I collagen, Type IV collagen, laminin, fibronectin, or combinations thereof.

Regarding claim 3, the step of culturing the isolated ureteric bud tissue for a sufficient time and under sufficient conditions so as to produce tubular branches is unclear. It is not clear what is considered a 'sufficient time' or 'sufficient conditions' to induce branching morphogenesis; as these parameters appear to be critical to inducing branching morphogenesis, it is necessary to fully disclose these particular conditions within the claim. Furthermore, there is insufficient antecedent basis for "the plurality of branch tips" in the 9<sup>th</sup> line of the claim; tubular branches have been described, but not branch tips.

Regarding claims 4 and 5, it is not clear which culture medium is to further comprise the GDNF or FGF1, the culture medium for the culture of the isolated ureteric bud tissue ("... culturing the isolated ureteric bud tissue in a biocompatible matrix in the presence of a culture medium...") or the culture

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medium for the culture of the bud fragments ("...culturing each of the bud fragments in a biocompatible matrix with a culture medium..."), or if the culture media used in each step is the same.

Similarly, regarding claims 6 and 7, it is not clear which biocompatible matrix is being referenced, the biocompatible matrix the isolated ureteric bud tissue are cultured in, or the biocompatible matrix the bud fragments are cultured on, or if they are one and the same biocompatible matrix.

Furthermore, in claim 6 collagen is one of the listed biocompatible matrix materials; however claim 7 also requires collagen to be one of the materials added to the matrix material. It is unclear how collagen would be added to a collagen matrix, it would be remedial to delete collagen from one of the claims.

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1, 3, 6 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sakurai et al (PNAS, 1997), in view of "Basic Techniques for Mammalian Cell Tissue Culture" (Current Protocols in Cell Biology, 1998), Naughton et al (US 2003/0007954), and "Overview of Extracellular Matrix" (Current Protocols in Cell Biology, 1998).

Applicants' claim 1 is directed to a method of propagating ureteric bud cells in culture, comprising (a) culturing a UB in vitro under conditions that induce the UB to undergo branching morphogenesis to generate a population of UBs comprising tubular branches; (b) subdividing the UB population; and (c) resuspending each subpopulation in culture media and repeating (a) and (b).

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Applicants' claim 3 is directed to a method for the in vitro culture and propagation of ureteric bud tissue, comprising isolating ureteric bud tissue from mesenchymal tissue obtained from embryonic kidney rudiments; culturing the isolated ureteric bud tissue in a biocompatible matrix in the presence of a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof for a sufficient time and under sufficient conditions to produce tubular branches within the biocompatible matrix; separating the plurality of branched tips to generate bud fragments; and culturing each bud fragment in a biocompatible matrix with a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof.

Claim 6 requires the biocompatible matrix to comprise cotton, collagen, polyglycolic acid, cat gut suture, cellulose, gelatin, dextran, polyamide, polyester, polystyrene, polypropylene, polyacrylate, polyvinyl, polycarbonate, polytetrafluorethylene, nitrocellulose compound, or Matrigel. Claim 7 requires the gelatin to be treated to contain proteoglycans, Type I collagen, Type IV collagen, laminin, fibronectin, or combinations thereof.

Sakurai et al teach a method of propagating ureteric bud cells in culture, comprising isolating ureteric bud tissue from embryonic kidney mesenchyme, suspending ureteric bud (UB) cells in an extracellular matrix gel (a biocompatible matrix), and culturing the UB cells in the presence of BSN-CM, derived from BSN cells of the metanephric mesenchyme, or in serum free media supplemented with several growth factors. For culture with the BSN-CM Sakurai et al used two different ECM gels, one comprising 80% type I collagen and 20% Matrigel, and one consisting of only type I collagen (Claims 6 and 7). For culture with the growth factors the ECM gel consisted of type I collagen. Sakurai et al noted that within 24-48 hours UB cells cultured in the presence of HGF, EGF, TGF-alpha, bFGF, IGF1 and BSN-CM demonstrated tubulogeneic activity (See Sakurai et al, Pg. 6282, col. 2 & Fig. 5); therefore both the BSN-CM and the noted growth factors are considered conditions that induce the UB to undergo branching morphogenesis to generate a population of UBs comprising tubular branches (Claim 1). BSN-CM inherently comprises pleiotrophin and heregulin, as evidenced by the present application; though

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Sakurai et al did not specifically identify the presence of pleiotrophin and/or heregulin in the BSN-CM, it was still present in the culture medium (Claims 3, 6 and 7).

While Sakurai et al do not specifically teach subdividing the cultured UB cells/branch tips (to generate what applicant calls bud fragments) and resuspending each subpopulation in culture medium and repeating the culture step, it would have been well within the purview of one of ordinary skill in the art, as part of routine animal cell tissue culture methods, to divide and resuspend subpopulations of the UB cells (Claims 1 and 3). One of ordinary skill in animal cell tissue culture recognizes the need to routinely subculture cells by dividing and replating/resuspending the animal cells in order to propagate growth and maintain viability of animal cell and/or tissue culture (See Current Protocols in Cell Biology, 1.1.1). Therefore, in order to maintain a viable cell tissue culture the skilled artisan would have been motivated to continually subculture the growing UB cells and would have expected success in doing so because mammalian cell tissue culture techniques are well known in the art.

Regarding the biocompatible matrix material, Sakurai et al teach use of a Matrigel ECM gel supplemented with type I collagen(See Sakurai et al, Pg. 6281, col. 2), as well as pure collagen ECM gels (See Sakurai et al, Pg. 6282, col. 2); however, it would have been well within the purview of one of ordinary skill in the art at the time the invention was made to use a biocompatible matrix comprising any suitable tissue scaffold material treated with any natural extracellular matrix proteins. Suitable biocompatible matrix materials are well known in the art (See Naughton et al); generally materials for three-dimensional tissue culture should allow cells to attach, or be treated so that cells may attach, and allow cells to grow in more than one layer (See Naughton et al, Pg. 2, paragraph 0031). Naughton et al teach suitable biocompatible matrix materials include nylon (polyamides), Dacron (polyesters), polystyrenes, polypropylenes, polyacrylates, polyvinyls, polycarbonates, polytetrafluorethylene, polyglycolic acid, nitrocelluloses, cotton, cat gut sutures, celluloses, gelatin, collagen and dextran (See Naughton et al, Pg. 2, paragraphs 0032-0033). Naughton et al further teach the biocompatible materials

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can be further treated with extracellular matrix proteins to enhance adhesion, including collagen, elastin, glycoproteins (See Naughton et al, page 3, paragraph 0040). Additional ECM proteins known in the art to be useful for enhancing adhesion of cells to substrates include fibril forming collagens (including type I collagen), network forming collagens (including type IV collagen), fibronectin, laminin, and proteoglycans (See "Overview of Extracellular Matrix"). Therefore, at the time the invention was made it would have been obvious to one of ordinary skill in the art to alternatively use any known, suitable biocompatible matrix material, such as those taught by Naughton et al, and to treat the matrix material with any of the known cell adhesion-enhancing proteins, such as those described in "Overview of Extracellular Matrix" (Claims 6 and 7). The functional equivalency of the matrix materials is recognized in the prior art; therefore it would have been *prima facie* obvious to substitute any of the known matrix materials for the Matrigel or collagen materials utilized by Sakurai et al for the same purpose of culturing the UB cells. Therefore the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Claims 1, 3, 4, 6 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Qiao et al (PNAS, 1999), i n view of "Basic Techniques for Mammalian Cell Tissue Culture" (Current Protocols in Cell Biology, 1998), Naughton et al (US 2003/0007954), and "Overview of Extracellular Matrix" (Current Protocols in Cell Biology, 1998).

Applicants' claim 1 is directed to a method of propagating ureteric bud cells in culture, comprising (a) culturing a UB in vitro under conditions that induce the UB to undergo branching morphogenesis to generate a population of UBs comprising tubular branches; (b) subdividing the UB population; and (c) resuspending each subpopulation in culture media and repeating (a) and (b).

Applicants' claim 3 is directed to a method for the in vitro culture and propagation of ureteric bud tissue, comprising isolating ureteric bud tissue from mesenchymal tissue obtained from embryonic kidney

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rudiments; culturing the isolated ureteric bud tissue in a biocompatible matrix in the presence of a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof for a sufficient time and under sufficient conditions to produce tubular branches within the biocompatible matrix; separating the plurality of branched tips to generate bud fragments; and culturing each bud fragment in a biocompatible matrix with a culture medium comprising pleiotrophin and/or heregulin or an active fragment thereof.

Claim 4 requires the culture medium to further comprise GDNF. Claim 6 requires the biocompatible matrix to comprise cotton, collagen, polyglycolic acid, cat gut suture, cellulose, gelatin, dextran, polyamide, polyester, polystyrene, polypropylene, polyacrylate, polyvinyl, polycarbonate, polytetrafluorethylene, nitrocellulose compound, or Matrigel. Claim 7 requires the gelatin to be treated to contain proteoglycans, Type I collagen, Type IV collagen, laminin, fibronectin, or combinations thereof.

Qiao et al teach isolating ureteric bud tissue from embryonic kidney rudiments of rats and suspending the isolated UB in an extracellular matrix gel (biocompatible matrix) consisting of type I collagen and Matrigel (See Qiao et al, Pg. 7330, col. 1); the UB cells were cultured in the presence of BSN culture media (BSN-CM) and a growth factor mixture containing EGF, HGF, IGF, FGF2, and GDNF for a sufficient time and under sufficient conditions to undergo branching morphogenesis (See Qiao et al, Pg. 7332, col. 1 & Fig. 2-3). BSN-CM inherently comprises pleiotrophin and heregulin, as evidenced by the present application; though Qiao et al did not specifically identify the presence of pleiotrophin and/or heregulin in the BSN-CM, it was still present in the culture medium (Claims 1, 3, 4, 6 and 7).

While Qiao et al do not specifically teach subdividing the cultured UB cells/branch tips (to generate what applicant calls bud fragments) and resuspending each subpopulation in culture medium and repeating the culture step, it would have been well within the purview of one of ordinary skill in the art, as part of routine animal cell tissue culture methods, to divide and resuspend subpopulations of the UB cells (Claims 1 and 3). One of ordinary skill in animal cell tissue culture recognizes the need to routinely

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subculture cells by dividing and replating/resuspending the animal cells in order to propagate growth and maintain viability of animal cell and/or tissue culture (See Current Protocols in Cell Biology, 1.1.1).

Therefore, in order to maintain a viable cell tissue culture the skilled artisan would have been motivated to continually subculture the growing UB cells and would have expected success in doing so because mammalian cell tissue culture techniques are well known in the art.

Regarding the biocompatible matrix material, Qiao et al teach use of a Matrigel ECM gel supplemented with type I collagen (See Qiao et al, Pg. 7330, col. 1); however, it would have been well within the purview of one of ordinary skill in the art at the time the invention was made to use a biocompatible matrix comprising any suitable tissue scaffold material treated with any natural extracellular matrix proteins. Suitable biocompatible matrix materials are well known in the art (See Naughton et al); generally materials for three-dimensional tissue culture should allow cells to attach, or be treated so that cells may attach, and allow cells to grow in more than one layer (See Naughton et al, Pg. 2, paragraph 0031). Naughton et al teach suitable biocompatible matrix materials include nylon (polyamides), Dacron (polyesters), polystyrenes, polypropylenes, polyacrylates, polyvinyls, polycarbonates, polytetrafluorethylene, polyglycolic acid, nitrocelluloses, cotton, cat gut sutures, celluloses, gelatin, collagen and dextran (See Naughton et al, Pg. 2, paragraphs 0032-0033). Naughton et al further teach the biocompatible materials can be further treated with extracellular matrix proteins to enhance adhesion, including collagen, elastin, glycoproteins (See Naughton et al, page 3, paragraph 0040). Additional ECM proteins known in the art to be useful for enhancing adhesion of cells to substrates include fibril forming collagens (including type I collagen), network forming collagens (including type IV collagen), fibronectin, laminin, and proteoglycans (See "Overview of Extracellular Matrix"). Therefore, at the time the invention was made it would have been obvious to one of ordinary skill in the art to alternatively use any known, suitable biocompatible matrix material, such as those taught by Naughton et al, and to treat the matrix material with any of the known cell adhesion-enhancing

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proteins, such as those described in "Overview of Extracellular Matrix" (Claims 6 and 7). The functional equivalency of the matrix materials is recognized in the prior art; therefore it would have been *prima facie* obvious to substitute any of the known matrix materials for the Matrigel/collagen material utilized by Qiao et al for the same purpose of culturing the UB cells. Therefore the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

## Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claim 1 is provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 1 of copending Application No. 09/595,195. Although the conflicting claims are not identical, they are not patentably distinct from each other because claim 1 of the copending application discloses isolating epithelial explants (ureteric bud cells), culturing the ureteric buds under conditions to induce tubular branching, then dissecting out individual branch tips (from the ureteric bud population) and culturing the individual branch tips in culture medium. The method of copending claim 1 comprises additional steps, but the steps directed to the culture of ureteric bud cells to induce branching morphogenesis disclosed in 09/595,195 anticipate the presently claimed method of

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claim 1. This is a provisional obviousness-type double patenting rejection because the conflicting claims

have not in fact been patented.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should

be directed to Allison M. Ford whose telephone number is 571-272-2936. The examiner can normally be

reached on 7:30-5 M-Th, alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

Michael Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where

this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application

Information Retrieval (PAIR) system. Status information for published applications may be obtained

from either Private PAIR or Public PAIR. Status information for unpublished applications is available

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direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Allison M Ford Examiner Art Unit 1651

> EDN B. LANKFORD, JR. PRIMARY EXAMINER